



RECORDATION FORM COVER SHEET PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Donald H. CAMPBELL

2. Name and address of receiving party(ies):

Name: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan
Zip: 48034-2442

Additional numbers attached? ☒ Yes ☐ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other ☐

Execution Date: Monday, July 01, 2002, and Monday, July 01, 2002 Additional numbers attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 7/1/02

A. Patent Application No.(s)

B. Patent No.(s)

Attorney's Docket No. IN-5226

Application No.:

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of Party to whom correspondence concerning document should be mailed:

Name: Michael F. Morgan, 42,906; Anne Gerry Sabourin, 33,772; Mary E. Golota, 36,814
Internal Address: BASF Corporation
Street Address: 26701 Telegraph Road
City: Southfield State: Michigan Zip: 48034-2442 Phone: (248) 948-2355

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41):.....\$40.00

8. Deposit account number: 23-3425

Enclosed

Authorized to be charged to deposit account

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Marjorie Ellis

Marjorie Ellis

Name of Person Signature

Signature

Date

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. ET332277310US addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on April 11, 2002.

Total number of pages comprising cover sheet:

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SEP 9 - 2002
TC 1700

IN-5226

SECOND PAGE OF RECORDATION FORM COVER SHEET
Patent only

Additional Name(s) of Conveying Party(ies)

2. Swaminathan RAMESH

3.

ASSIGNMENT

WHEREAS, I (WE), Donald H. CAMPBELL and Swaminathan RAMESH residing at 1211 Maxfield Lake Road, Hartland Township, Michigan 48353, United States of America, (Citizenship American); 47417 Stratford Drive, Canton, Michigan 48187, United States of America, (Citizenship American) (declarant(s)) declare that I (WE) have invented certain improvements in: CARBAMATE-FUNCTIONAL POLYMER, CURABLE COATING COMPOSITION THEREOF, AND METHOD OF PREPARING THE POLYMER hereinafter the invention or inventions, described in U.S. Patent Application Serial No. _____ filed on Monday, July 01, 2002 and being owner(s) of all right, title and interest in and to said invention or inventions and to said application, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned; and

WHEREAS, BASF Corporation, a corporation of the State of Delaware having a place of business at 3000 Continental Drive - North, Mount Olive, New Jersey 07828-1234 (assignee), is desirous of acquiring the entire right, title and interest in and to said invention or inventions, and to said application and to any and all patents to be obtained therefor;

NOW THEREFORE, in accordance with the terms of declarant(s) employment agreement, the declarant(s) does (do) hereby assign, transfer and set over unto said assignee, its successors and assigns, the entire right, title and interest in and to said invention or inventions, as described in the

Docket: IN-5226

aforesaid application, in any form or embodiment thereof, and in and to the aforesaid application; and in and to any application filed in any foreign country based thereon, including the right to file said foreign applications under the provisions of the International Convention; also the entire right, title and interest in and to any and all patents or reissues or extensions thereof to be obtained in this or any foreign country upon said invention or inventions and any divisional, continuation, continuation-in-part or substitute applications which may be filed upon said invention or inventions in this or any foreign country; and the declarant(s) hereby authorize(s) and request(s) the issuing authority to issue any and all patents on said application or applications to said assignee or its successors and assigns.

The declarant(s) further agrees(s), in accordance with the terms of declarant(s) employment agreement, without any payment or compensation by said assignee or its successors and assigns, to communicate to said assignee, its representatives or agents or its successors and assigns, any facts relating to said invention or inventions including evidence for interference purposes or for other legal proceedings, whenever requested; to testify in any interference or other legal proceedings, whenever requested; to execute and deliver, on request, all lawful papers required to make any of the foregoing provisions effective; and to do everything possible to aid said assignee, its successors, or assigns and nominees to obtain and enforce proper patent protection for said invention or inventions in this or any foreign country.

Title: CARBAMATE-FUNCTIONAL POLYMER, CURABLE COATING COMPOSITION THEREOF, AND METHOD OF PREPARING THE POLYMER

Docket: IN-5226

The undersigned declarant(s) further agree(s) that all statements made herein of his/her/their own knowledge are true and that all statements made on information or belief are believed to be true. The undersigned understand(s) that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. 1001) and may jeopardize, the validity of the assignment, or the application or any patent issuing thereon as evidenced by his/her signature below.

Dated: Tuesday, June 25, 2002
6/25/02

Signed: Donald H. Campbell
Typed Name: Donald H. CAMPBELL

7/1/02
Dated: _____

Signed: [Signature]
Typed Name: Swaminathan RAMESH

State of MICHIGAN)
) ss.
County of OAKLAND)

On this 1st day of July 2002 and
On this 25th day of JUNE 2002 before me personally appeared the foregoing individuals, who executed the foregoing instrument and who acknowledged to me that they executed the same of their own free will for the purpose therein set forth.

(seal)

Marjorie E. Ellis
Notary Public, Marjorie E. Ellis
Wayne County, Michigan Acting in Oakland
County, State of Michigan
My Commission Expires: April 8, 2006



PATENT

(Practitioner's Docket No. IN-5226)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Donald H. CAMPBELL

US Serial No. 10/186,982

Filed: July 1, 2002

Group Art Unit: 1730

Examiner: Not Assigned

COPY OF PAPERS
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For: CARBAMATE-FUNCTIONAL POLYMER, CURABLE COATING COMPOSITION
THEREOF, AND METHOD OF PREPARING THE POLYMER

Patent*: Issue Date

Reexamination No.: Issue Date

Reissue: Issue Date

*NOTE: Insert name(s) of inventor(s) and title for patent.

Assistant Commissioner for Patents
Washington, D.C. 20.231

**STATEMENT UNDER 37 C.F.R. § 3.73(b)-
ESTABLISHING RIGHT OF ASSIGNEE TO TAKE ACTION**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*
(When using Express Mail, the Express Mail label number is mandatory;
Express Mail certification optional.)

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

☒ deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner
for Patents, Washington, D.C. 20231

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10*

☒ with sufficient postage as first class mail.

☐ as "Express Mail Post Office to Addressee"
Mailing Label No: _ (mandatory)

TRANSMISSION

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: August 14, 2002

Signature

Marjorie Ellis

(type or print name of person certifying)

1. The assignee(s) of the entire right, title and interest hereby seek(s) to take action in the PTO in this matter.

IDENTIFICATION OF ASSIGNEE

BASF CORPORATION

2. _____
Name of assignee
CORPORATION

Type of assignee, e.g., corporation, partnership, university, government agency, etc.

COPY OF PAPERS
ORIGINALLY FILED

PERSON(s) AUTHORIZED TO SIGN

3. Michael F. Morgan, 42,906
(type name of person authorized to sign on behalf of assignee)

Attorney

Title of person authorized to sign

3a. Mary E. Golota, 36,814
(type name of person authorized to sign on behalf of assignee)

Senior Attorney

Title of person authorized to sign

3b. Anne Gerry Sabourin, 33,772
(type name of person authorized to sign on behalf of assignee)

Assistant General Counsel

Title of person authorized to sign

(complete the following, if applicable)

☒ I, the person signing below, state that I am empowered to sign this statement on behalf of the assignee.

BASIS OF ASSIGNEE'S INTEREST

Ownership by the assignee is established as follows:

A.

1. ☐ An assignment from the inventor(s) of the matter identified above, which was recorded in the PTO at

Reel _____, Frame _____.

2. ☒ An assignment (document) separately being submitted for recordal herewith.

AND/OR

B.

1. ☐ A chain of title from the inventor(s) to the current assignee as shown below:

1. From: _____

Name of inventor(s)

To: _____

Recorded in PTO:
Reel _____ Frame _____

(check item below, and add details, if applicable)

☐ Additional documents in the chain of title are listed in the attached Supplemental Sheet.

COPIES OF DOCUMENTS IN CHAIN OF TITLE

(complete this item, if copies are being sent)

☐ Copies of the assignment(s) or other document(s) in the chain of title are attached as follows:

☐ A

☐ 1

☐ 2

☐ B

☐ 1.

☐ 2

☐ 3.

Reg. No.: 33,772


SIGNATURE OF ATTORNEY

Anne Gerry Sabourin

Type or print name of attorney

Tel. No.: (248) 948-2021

BASF Corporation

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Customer No. 26922